03-23-07

**Application Number** 

MAR 2 2 7007

Date

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/771,987

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TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Fil	ing Date	Februa	ary 4, 2004
			Fir	st Named Inventor	P. Seth et al.	
			Ar	t Unit		
			Ex	aminer Name	Micha	el P. Woodward
Total Number of Pages	in This Submission		At	torney Docket Number	1259-0	000001/CPB
		ENCLO	SUR	RES (check all that apply)		
Fee Transmittal F	om	☐ Drawing	g(s)			er Allowance Communication to chnology Center (TC)
Fee Attached	!	Licensi	ng-re	elated Papers		peal Communication to Board of peals and Interferences
Amendment / Rep	bly	Petition	1			peal Communication to TC peal Notice, Brief, Reply Brief)
After Final				Convert to a Application	Pro	prietary Information
Affidavits/dec	laration(s)			torney, Revocation Correspondence Address	☐ Sta	itus Letter
Extension of Time	Request	Terminal [		sclaimer		her Enclosure(s) ease identify below):
Express Abandonment Request			Request for Refund  CD, Number of CD(s)			Form HDP 1449; Copy of Other Document; and Return Postcard Receipt
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Certified Copy of Priority Document(s)		Rema	rks	fees that may be requi	red unde	uthorized to charge any additional at 37 CFR 1.16 or 1.17 to Deposit ate copy of this sheet is enclosed.
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF	APP	LICANT, ATTORNEY, O	R AGEN	NT
Firm or Harness, Dickey & Pierce, P.L.C			Attorney Name David L. Suter/Mark A. Fre		Reg. No. 30,692/41,026	
Signature	re Mark			1. Frentia		

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a2

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain order to be public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/771,987

Filing Date:

February 4, 2004

Applicant:

P. Seth et al.

Group Art Unit:

1615

Examiner:

Michael P. Woodward

Title:

EXTENDED RELEASE PHARMACEUTICAL TABLET OF

**METFORMIN** 

**Attorney Docket:** 

1259-000001/CPB

Director of the United States Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

# I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

## II. <u>COPIES</u>

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

	1449 or on the copies of PTO-892 previously cited by or submitted to	or other information which are listed on the control of the control of the PTO in one of the following apple arlier filing date under 35 U.S.C. § 12	h, were lications
	U.S. Serial Number	U.S. Filing Date	
	States. A copy of the International information. The documents listed on the attached Form 1449 for cor any patent resulting from this appli from the US, EPO, or JPO search have been supplied to the USP	the entry of the National Phase in the Search Report is attached for the Exact on the International Search report an Insideration by the Examiner and for listication. If the International Search repauthorities, copies of these references PTO under the trilateral agreement and the International Search repairment in the Internation of t	aminer's re listed sting on oort was s should and are
III.	CONCISE EXPLANATION OF THE	E RELEVANCE (check at least one box	()
	A.  Except as may be indicated other information are in the English	below in (B), all of the patents, publican language (concise explanation not rec	itions or juired).
	B. A concise explanation of the information listed that is not in the § 1.98(a)(3)):	e relevance of each patent, publication English language is as follows (see 37	or other <sup>7</sup> C.F.R.
	1. See the attached fo counterpart foreign application	oreign patent office communication on:	from a
	2. English translations are	e provided:	
	3.  Other:		
	C.   The following additional is consideration.	information is provided for the Exa	aminer's
12/4/2	2002. An Office Action is attached;	cted to related earlier case 10/309,1; claims in the earlier case were reje	cted for

#### IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.

<u>Serial No.</u> 10/309,193 Filing Date
December 4, 2002

Inventor(s) Seth

#### V. THIS IDS IS BEING FILED UNDER

A. X 37 C.F.R. § 1.97(b): (check only one box)

- 1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
- 2. within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
- 3. Defore the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- 4. before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

# B. 37 C.F.R. § 1.97(c): (check <u>only</u> one box)

before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

- 1.  $\square$  No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
- 2. 
  See the certification below. No fee is required.

	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A. accommunication of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B.  no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

## VIII. PAYMENT OF FEES (check only one box, if applicable)

A.  $\square$  A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. 

Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-identified fee. A duplicate copy of this paper is attached.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: March 22, 2007

Mark A. Frentrup Reg. No. 41,026

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

DLS/MAF/cg

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# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.
1259-000001/CPB	10/771,987
APPLICANT	
P. Seth et al.	
FILING DATE	GROUP
February 4, 2004	1615

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name .	Class/ Subclass	(If appropriate) Filing Date
1.		10/309,193	12/04/02	Seth		

FORE	IGN PATEN	IT DOCUMENTS	3				
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)					
Ref. Desig.	Examiner's Initials				
1.		Office Action of 11/22/2006 in U.S.S.N 10/309,193 filed December 4, 2002.			

Examiner:	Date Considered: